



# Niles Lehman

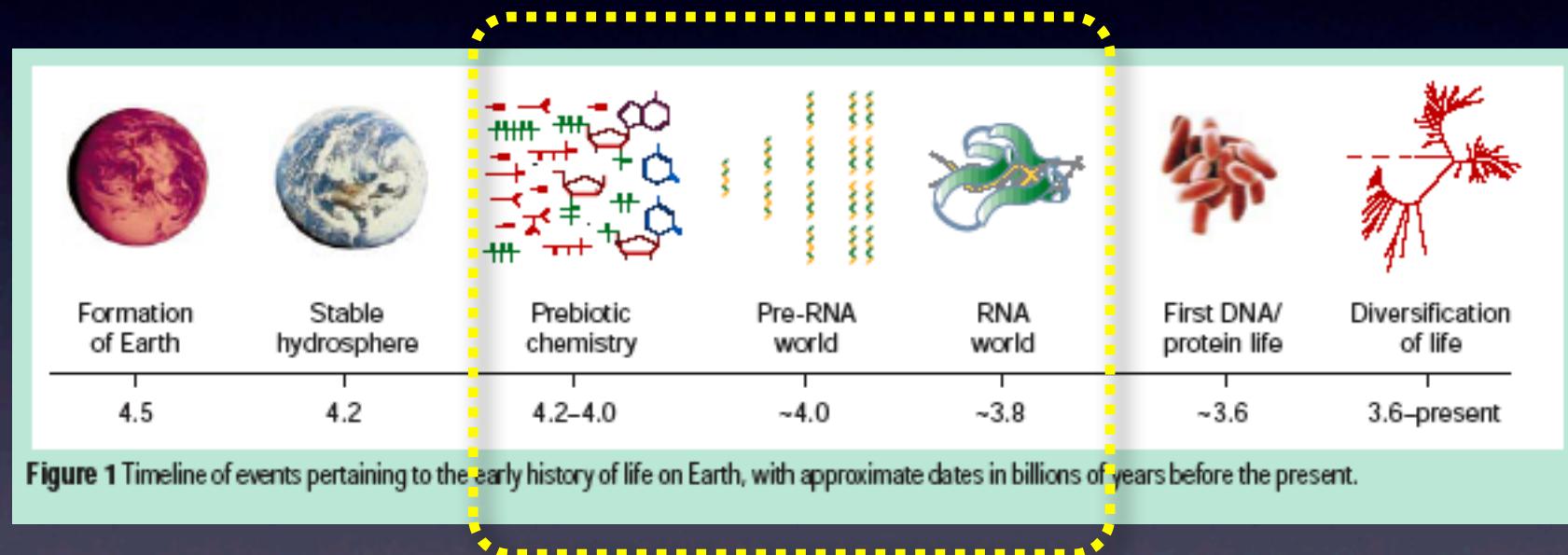
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***RNA self-assembly:  
Cooperation at the  
origins of life***

KITP Conference  
7 Feb 2013

# the timeline of life

Joyce (2002) *Nature* **418**, 214–221.

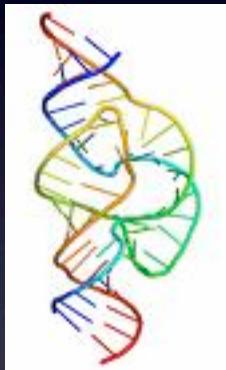


LIFE = “a self-sustaining chemical system capable of darwinian evolution” (Joyce/NASA)

the origin of life ≈ the origin of self-replication??

the origin of self-replication...

but what is “self”?



RNA  
molecule



bacterium



SH-NW

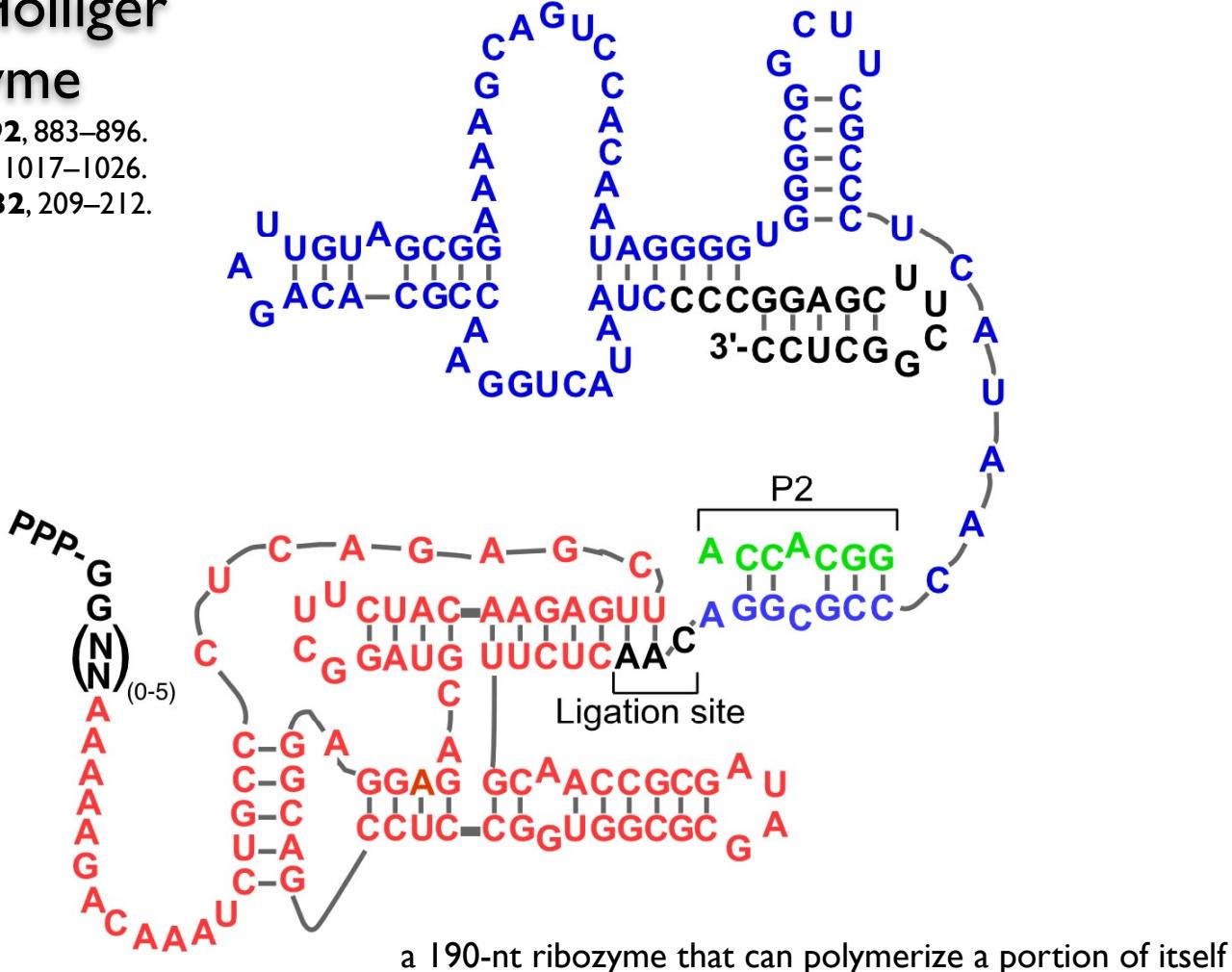
# molecular self-replication: selfish or cooperative?

## the Bartel/Unrau/Holliger replicase ribozyme

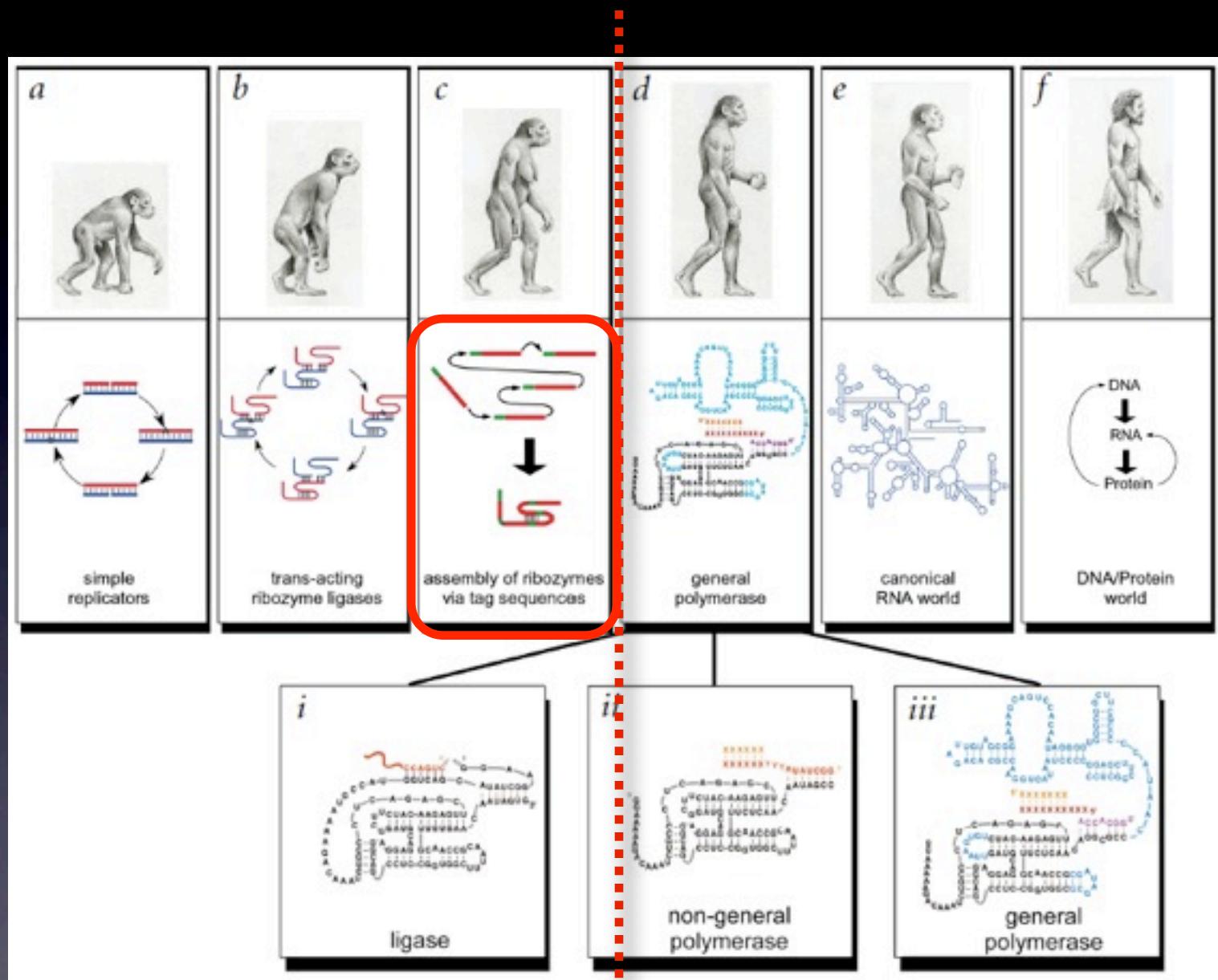
Johnston et al. (2001) *Science* **292**, 883–896.

Zaher & Unrau (2007) *RNA* **13**, 1017–1026.

Wochner et al. (2011) *Science* **332**, 209–212.



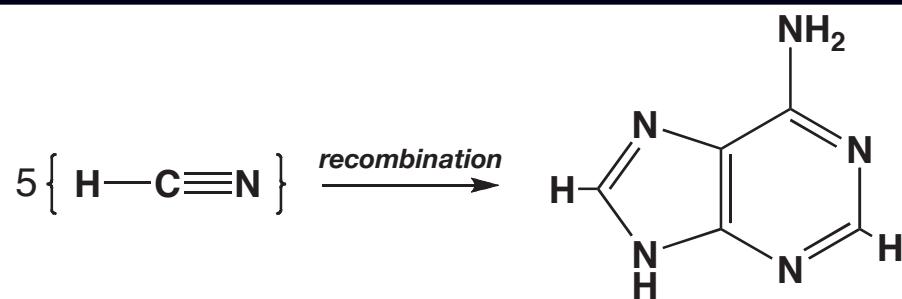
# perhaps: cooperate ... then be selfish?



Levy and Ellington (2001) "The descent of polymerization"  
Nat. Struct. Biol. 8, 580–582.

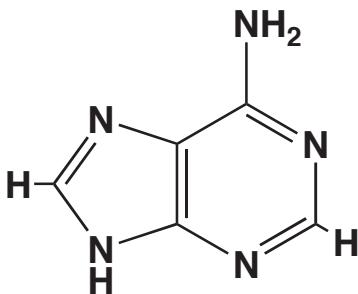
# “chemical cooperation”

hydrogen  
cyanide (HCN)



15 atoms &  
50 electrons:  
5 C-H bonds  
5 C-N bonds

*present in  
interstellar medium*



15 atoms &  
50 electrons:  
2 C-H bonds  
9 C-N bonds  
3 N-H bonds  
1 C-C bond

*present in  
living systems*

adenine

the Oró HCN polymerization experiments (1961)

# autocatalysis

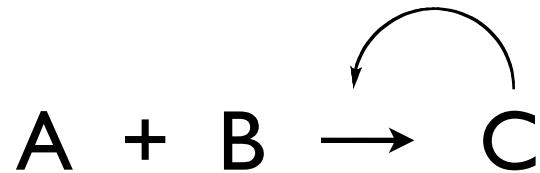
the chemical requirement for self-replication



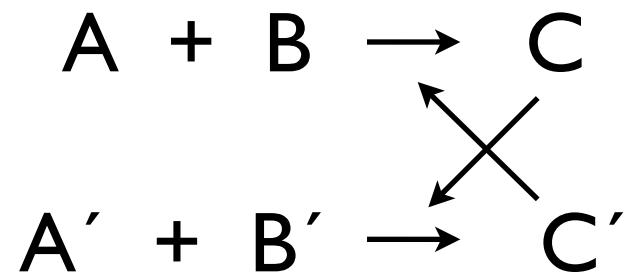
*the product of a reaction catalyzes its own formation*

# but when did the “self” become strict?

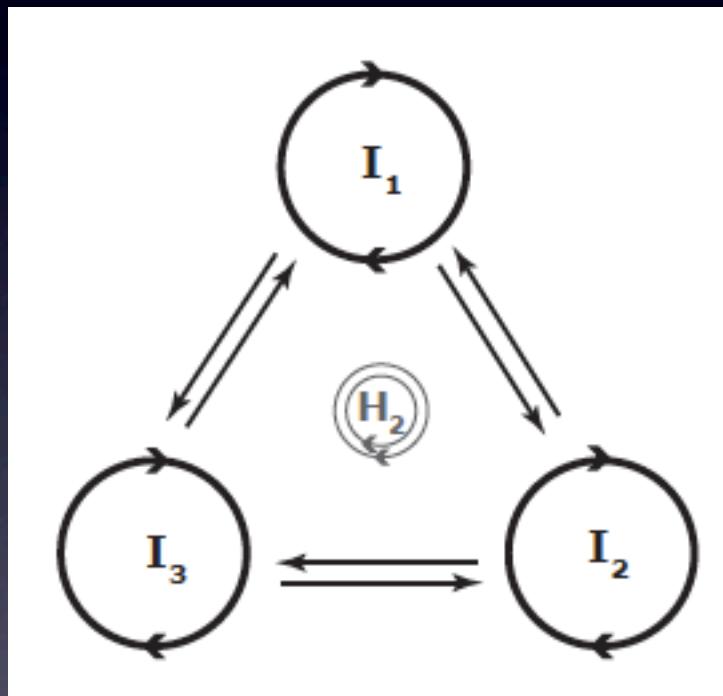
“*selfish*”



“*cooperative*”



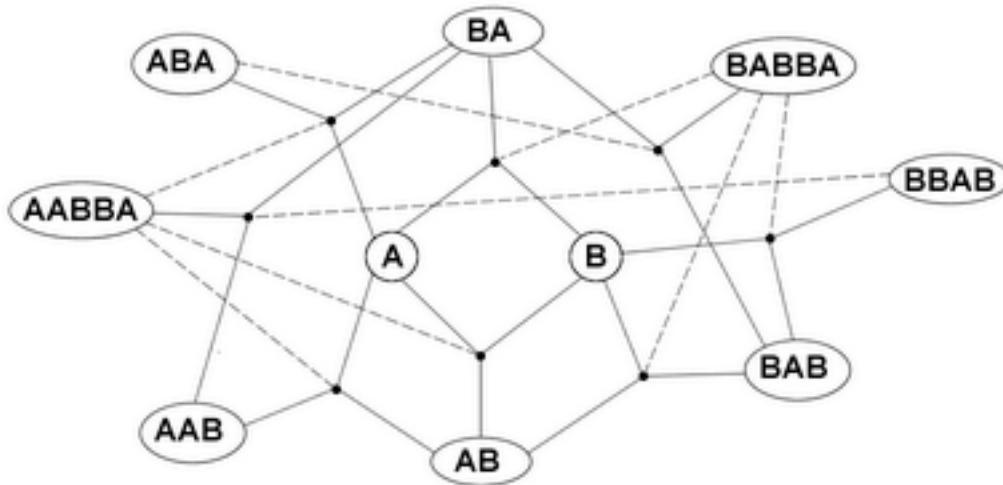
extending cooperation to >2 “selves”...



Eigen & Schuster, 1977; 1978

# perhaps even a **cooperative network?**

an autocatalytic set

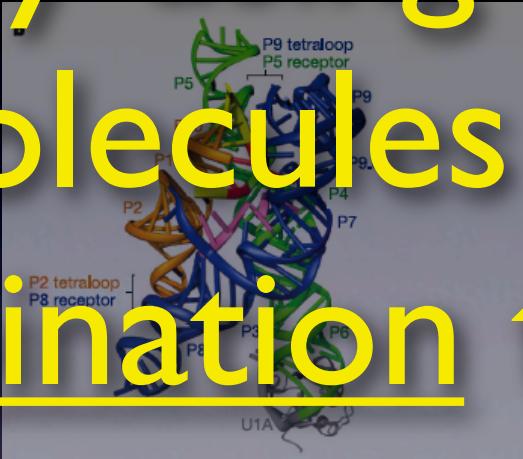


Kauffman (1993)

Q: can we create a  
cooperative network in  
the laboratory with  
catalytic RNAs?

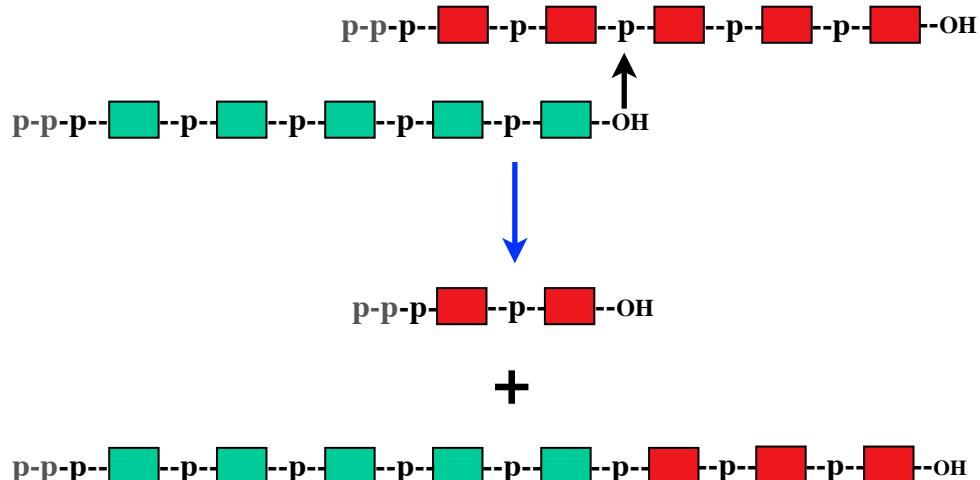


A: yes, by using catalytic RNA molecules that use recombination to piece together more copies of themselves

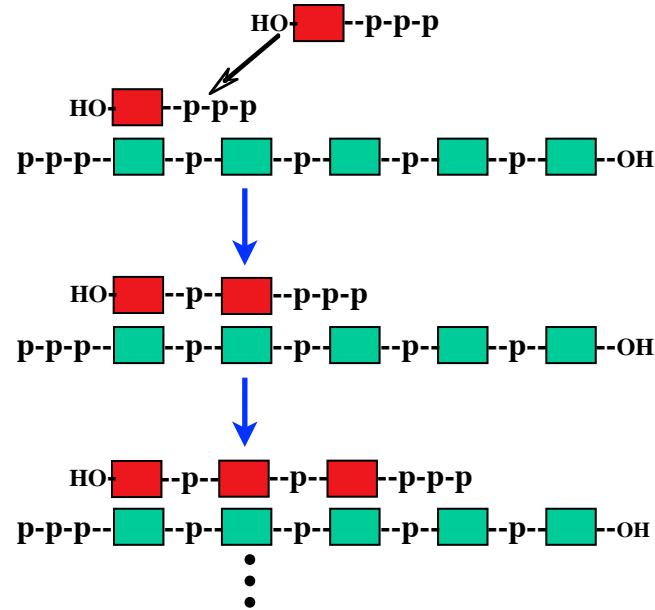


# recombination!

Recombination, at the molecular level, is the breaking and re-ligation of (phosphoester) bonds resulting in the swapping of  $\geq 1$  monomer units between two nucleic-acid strands



recombination



polymerization

Lehman (2003) *J. Mol. Evol.* **56**, 770–777.

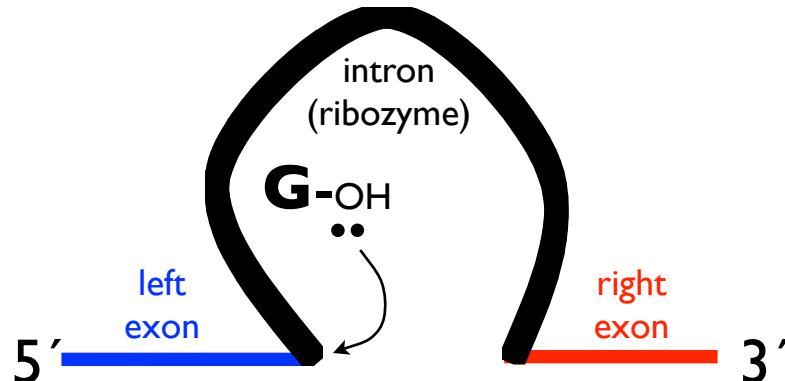
Lehman (2008) *Chem. Biodiver.* **5**, 1707–1717.

Lehman et al. (2011) *Entropy* **13**, 17–37.

Vaidya et al. (2012) *Nature* **490**, 72–77.

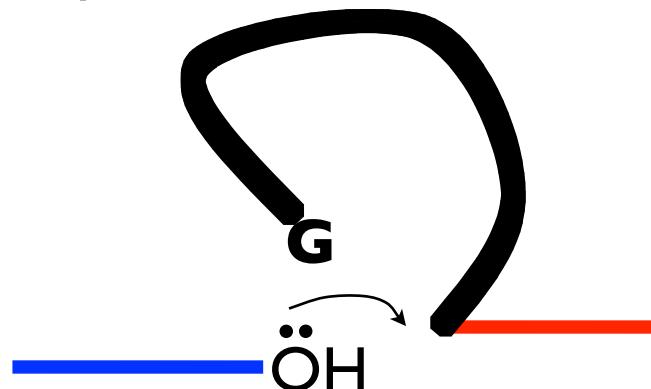
# getting RNAs to recombine RNAs: group I introns do this in Nature

step 1

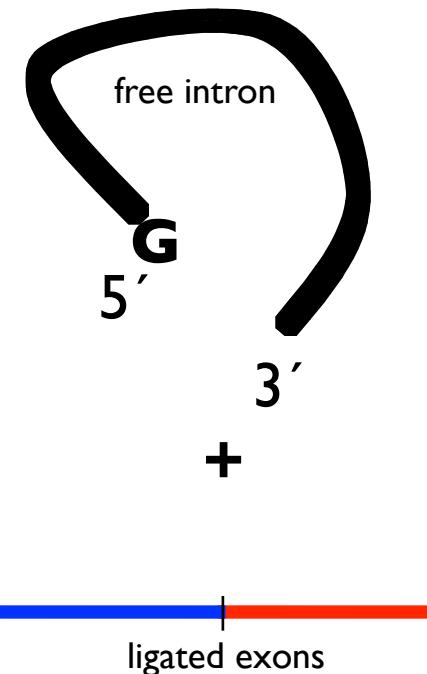


self-splicing of  
rRNA and tRNA  
introns *in vivo*

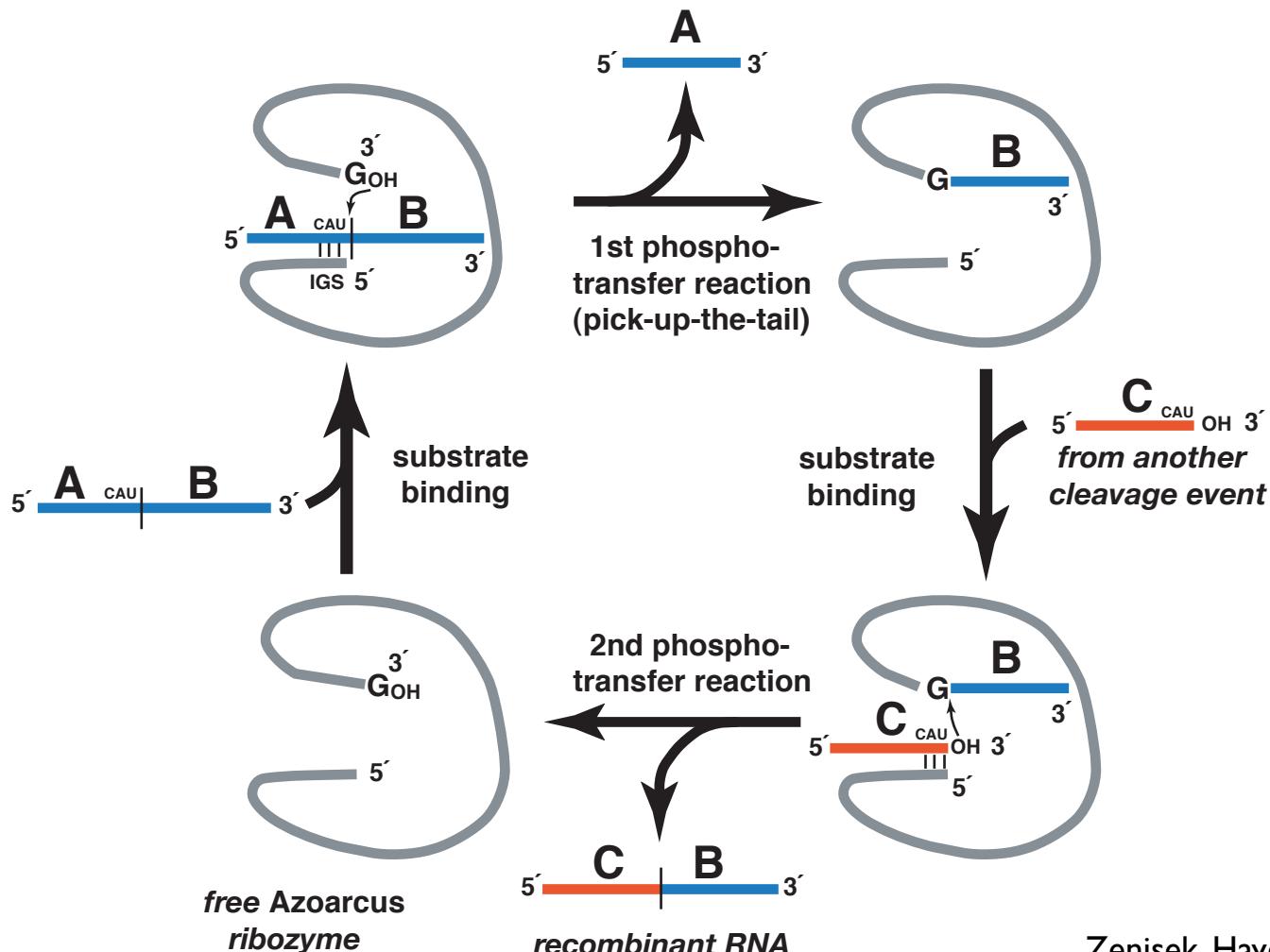
step 2



reverse splicing =  
“pick-up-the-tail” (PUTT)

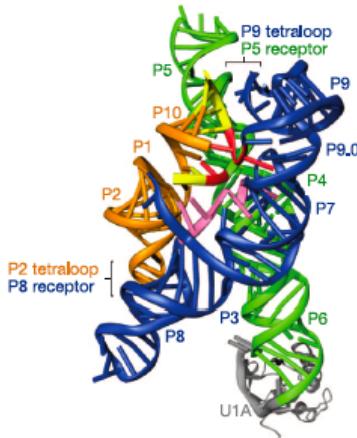
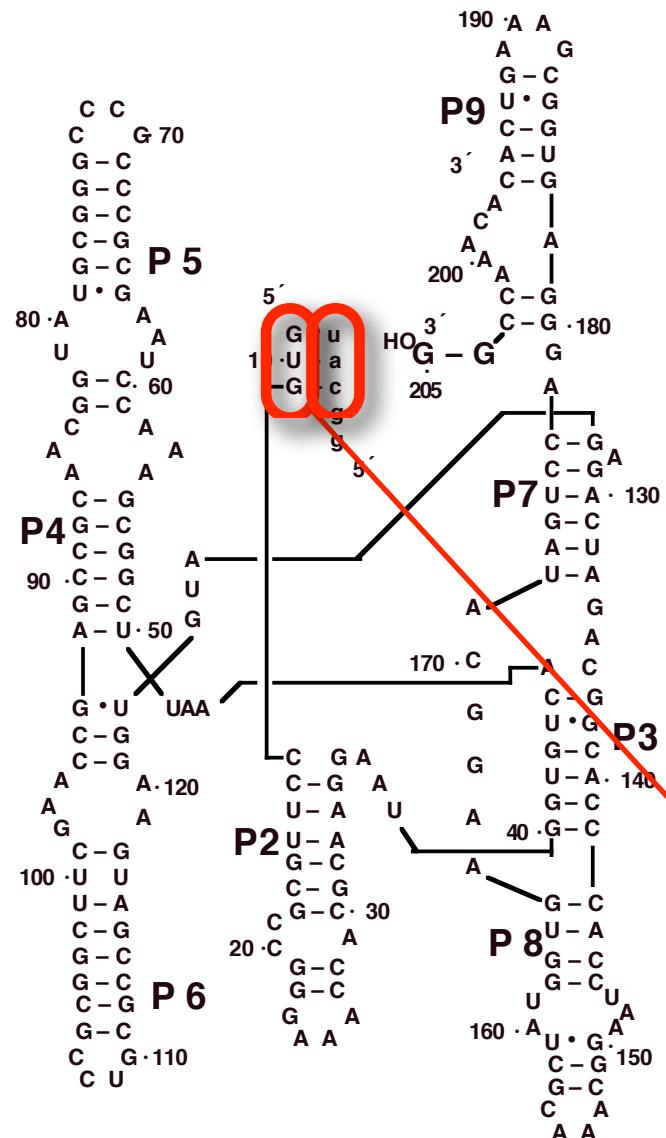


# recombination scheme by group I ribozymes



Zenisek, Hayden & Lehman (2007)  
*Artif. Life* **13**, 279–289.

# the *Azoarcus* ribozyme as a recombinase



self-splicing intron from the isoleucine tRNA of the purple bacterium *Azoarcus*

L-8 ribozyme is 197 nt long,  
and has a 71% G+C content

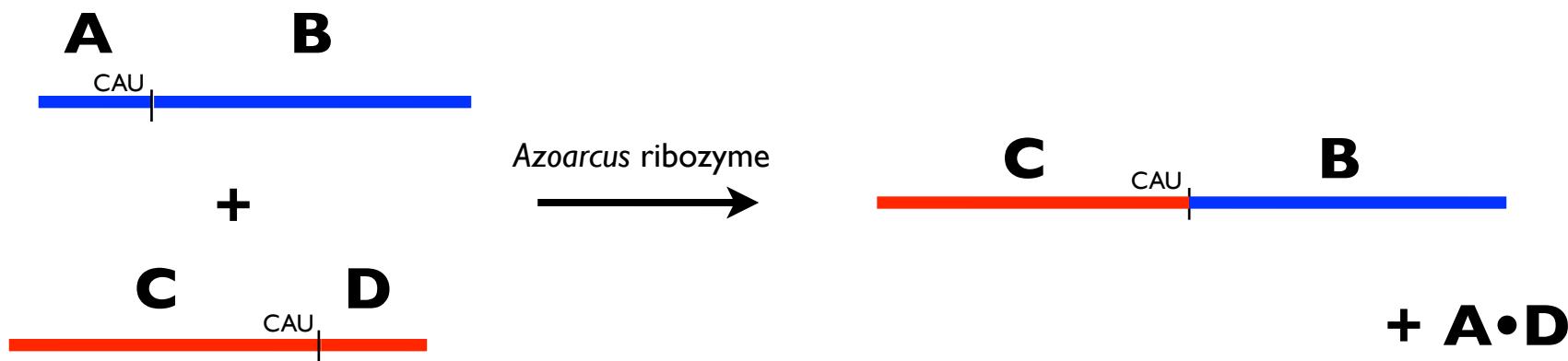
active up to 70°C

internal guide sequence is GUG,  
its complement (i.e., “tag”) is CAU

# RNA-directed recombination of short oligomers

*Azoarcus* ribozyme: IGS = GUG; target = CAU

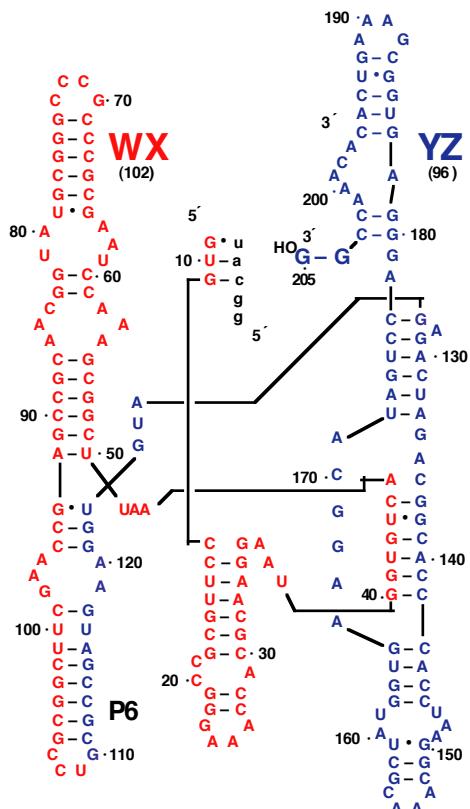
SNL-1a	GG <u>CAU</u> •AAAAUAAA <u>UAAA</u> UAUA	22-mer
SNL-2a	GGAAAGG <u>CAU</u> •AAAUA	15-mer
SNL-4a	GG <u>CAU</u> •GGCCGAAACAGC	17-mer
SNL-5a	GGGAGUCUGAUGAG <u>CAU</u> •AAAUA	23-mer
“head” • “tail”		



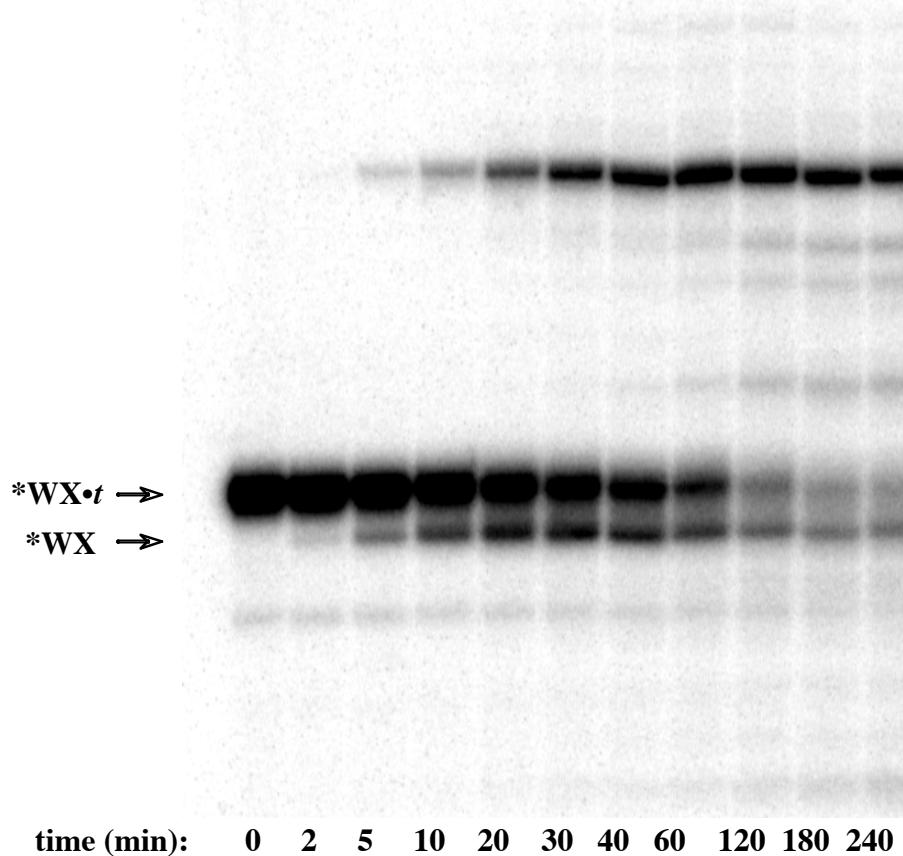
SNL-1a X SNL-2a: 22-mer + \*15-mer → \*27-mer + 10-mer

# recombining the recombinase itself

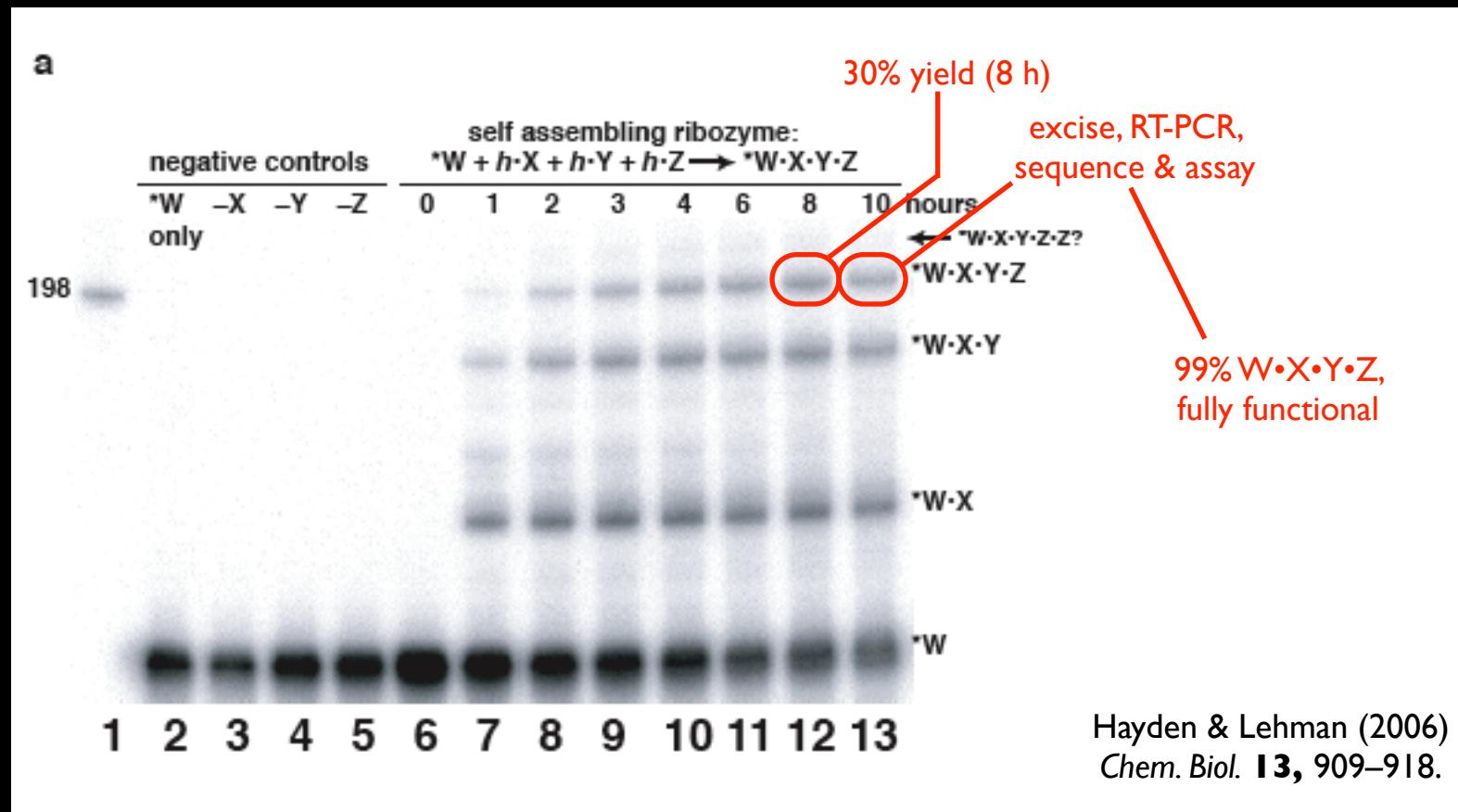
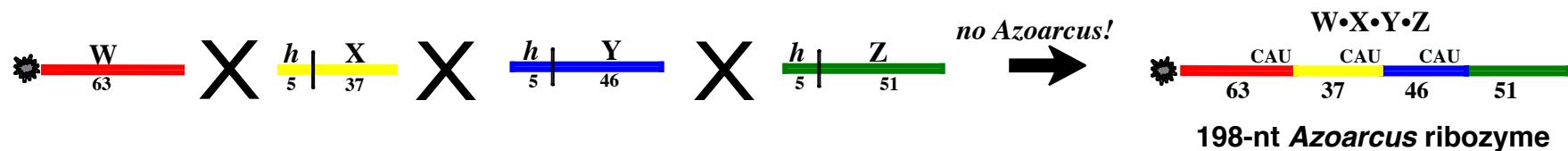
## AZOARCUS RIBOZYME



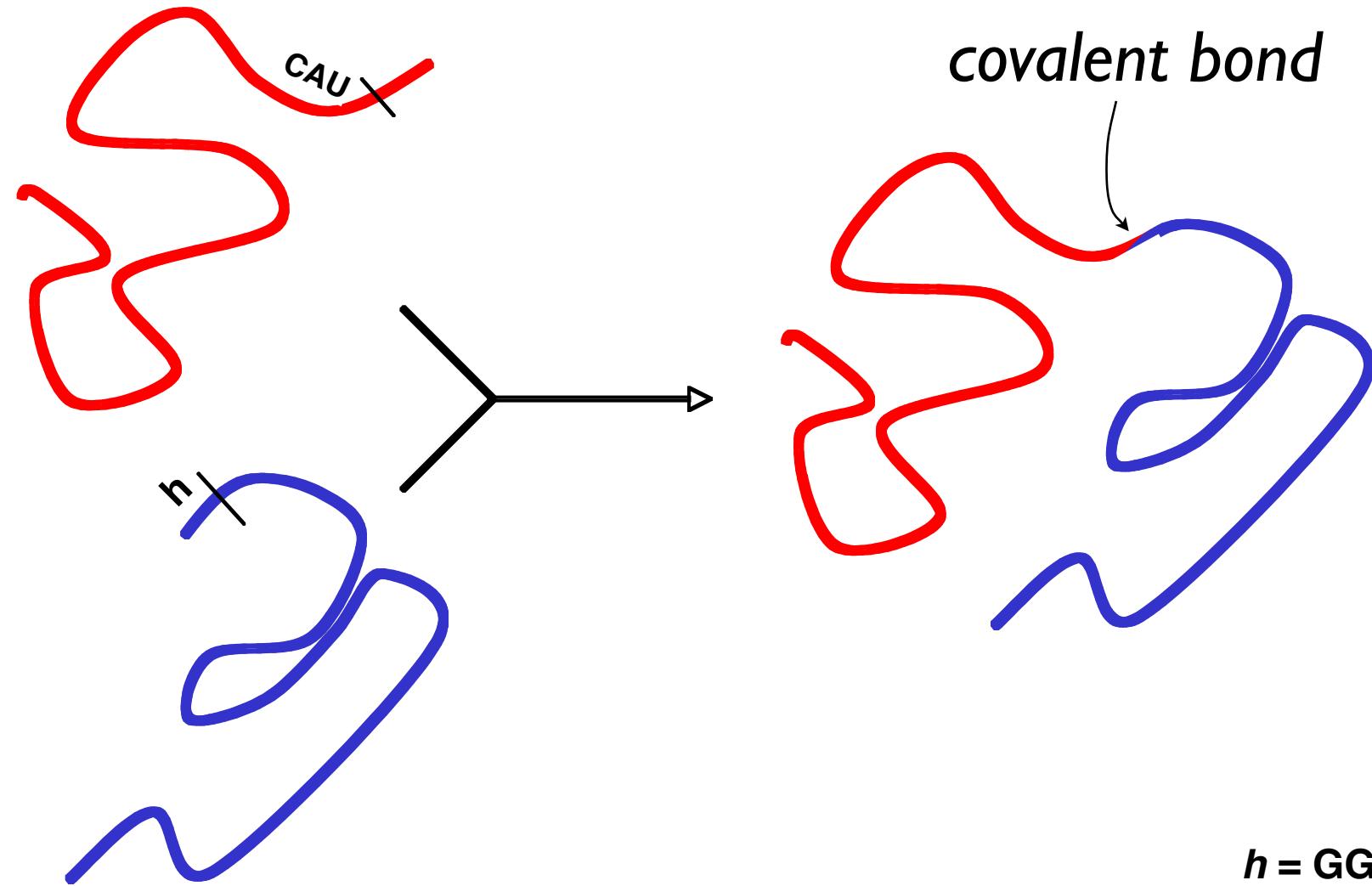
no full-length *Azoarcus* RNA was added!



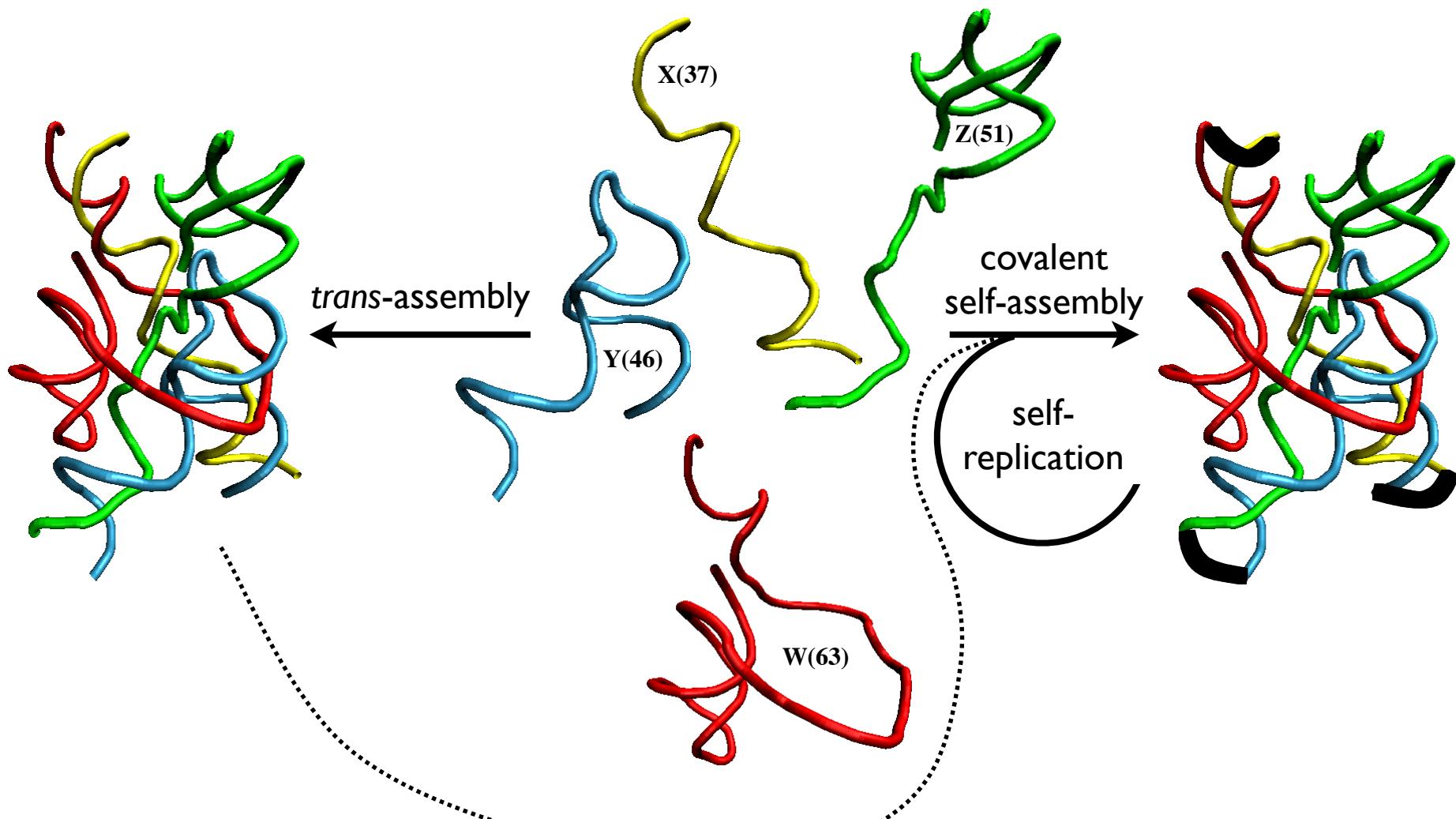
## four-piece (quad) self-assembly



# this is a “self-assembling” RNA



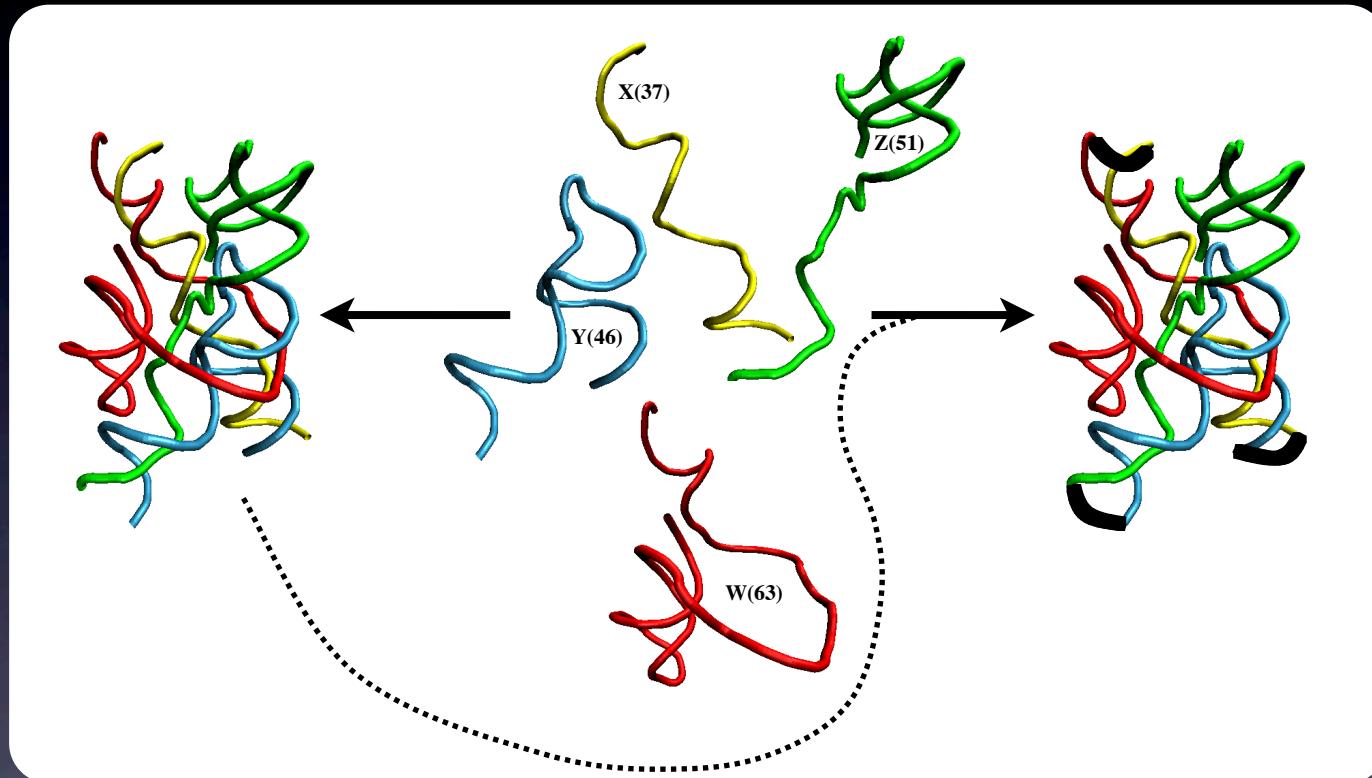
# how? *trans*-catalysis first!



# the concept of “self” requires a consideration of chemical bond strengths

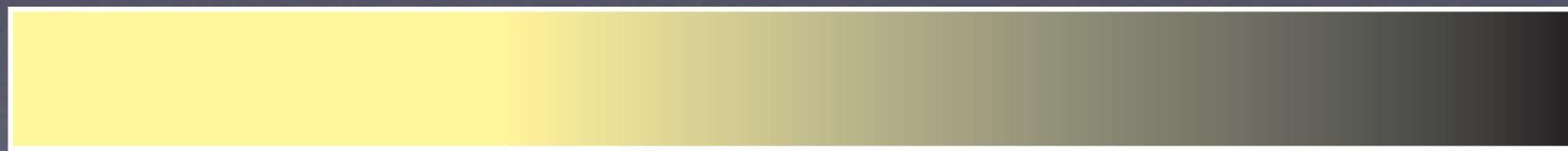
hydrogen bond =  $-8 \text{ kJ/mol}/\text{H-bond}$

covalent bond =  $-22 \text{ kJ/mol}/\text{phosphodiester bond}$



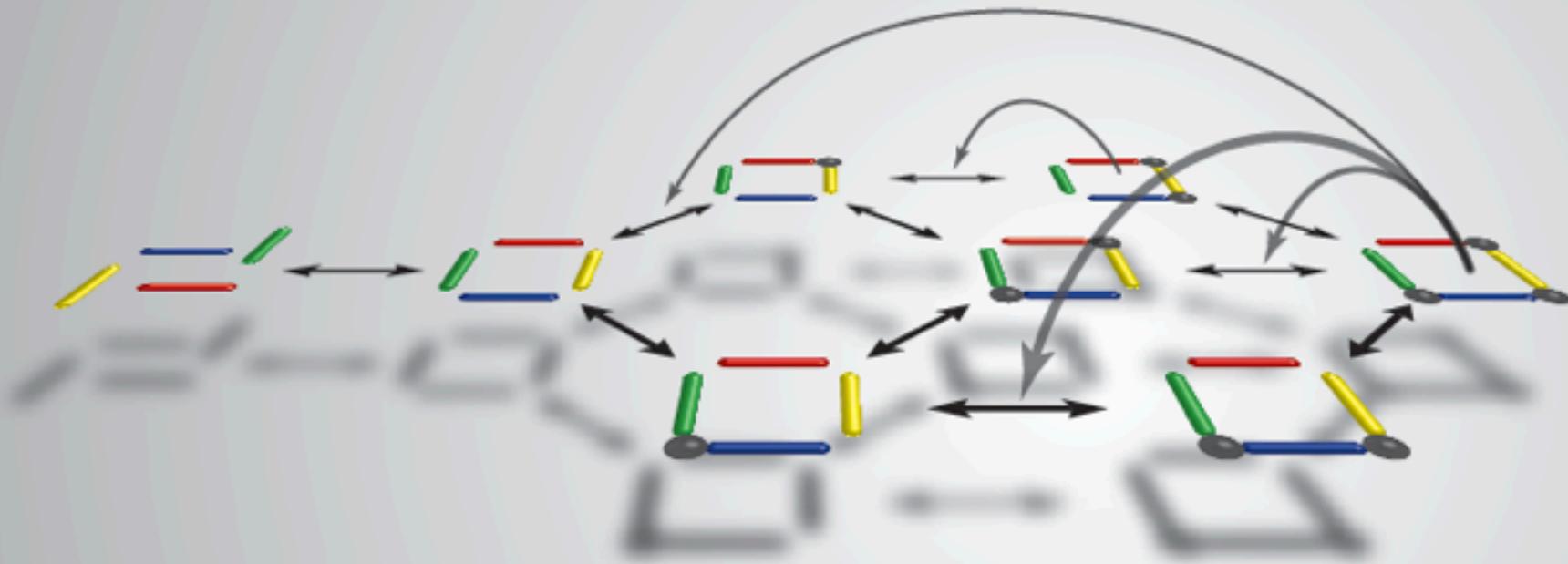
bond type gradient

HCN  
HCHO  
HCN  
NH<sub>2</sub>CHO  
HCHO



RNA

# a small selfish (?) autocatalytic network



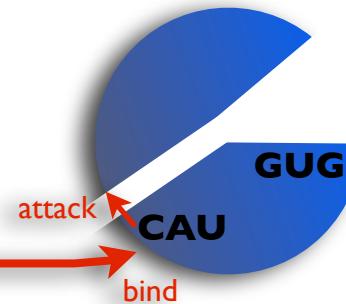
Hayden, von Kiedrowski, Lehman (2008)  
Angew. Chem. Int. Ed. **47**, 8424–8428.

# using information ... “selfishly”

“ribozyme”  
(covalently-contiguous or trans complex)



2-piece  
trans complex

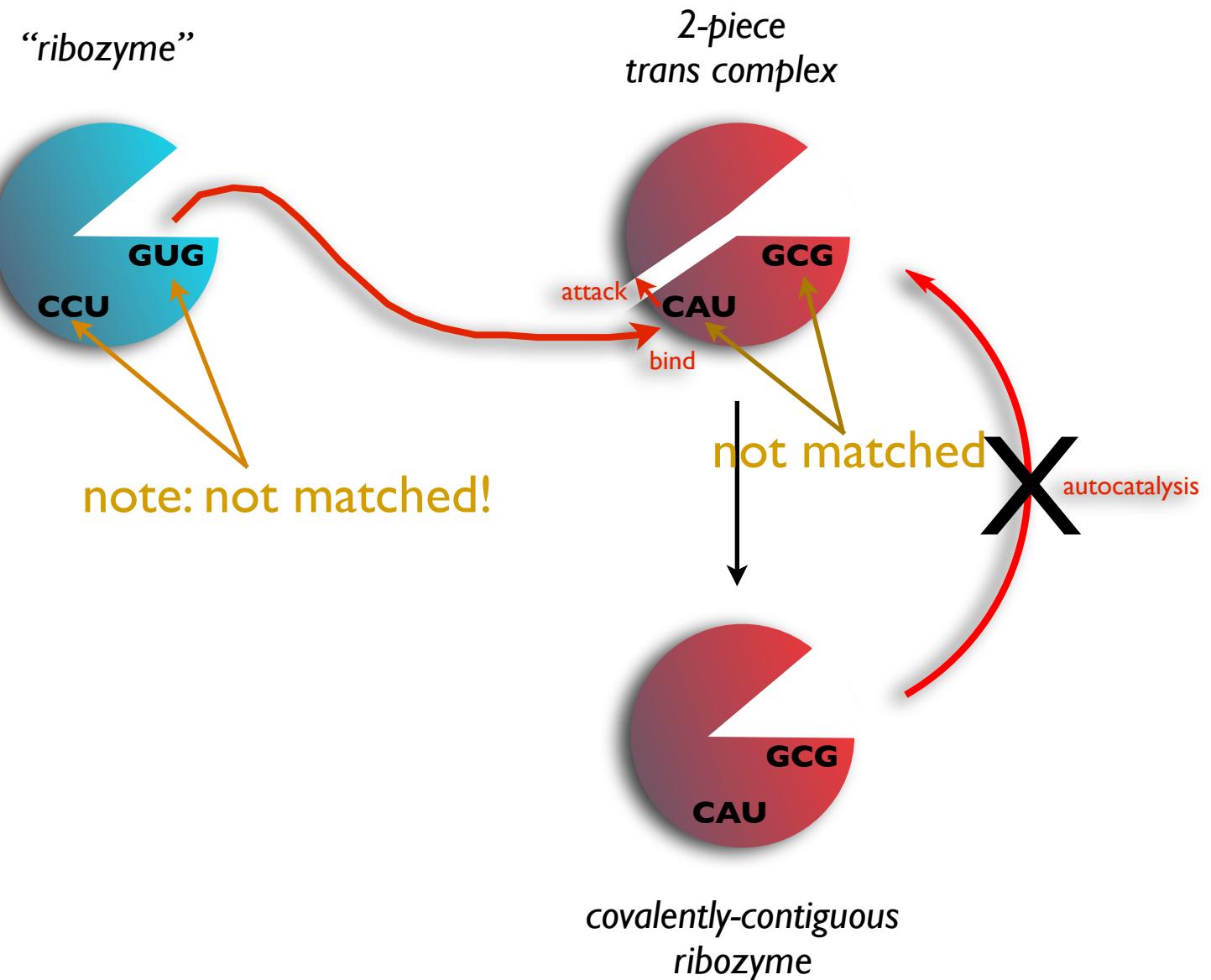


autocatalysis

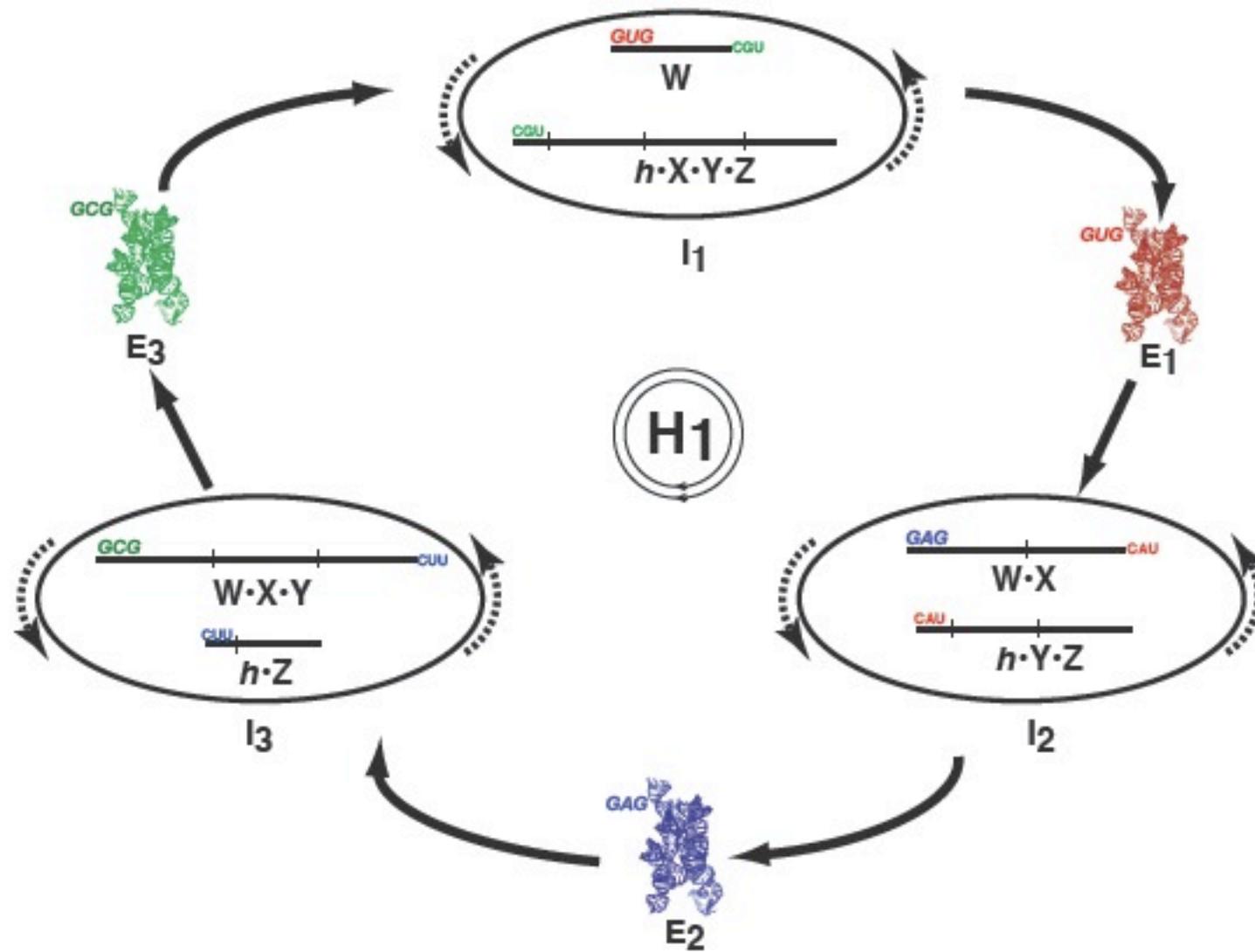
turnover is achieved because  
the  $T_m$  of the IGS-IGS tag in  
100 mM MgCl<sub>2</sub> is 40–50°C

covalently-contiguous  
ribozyme

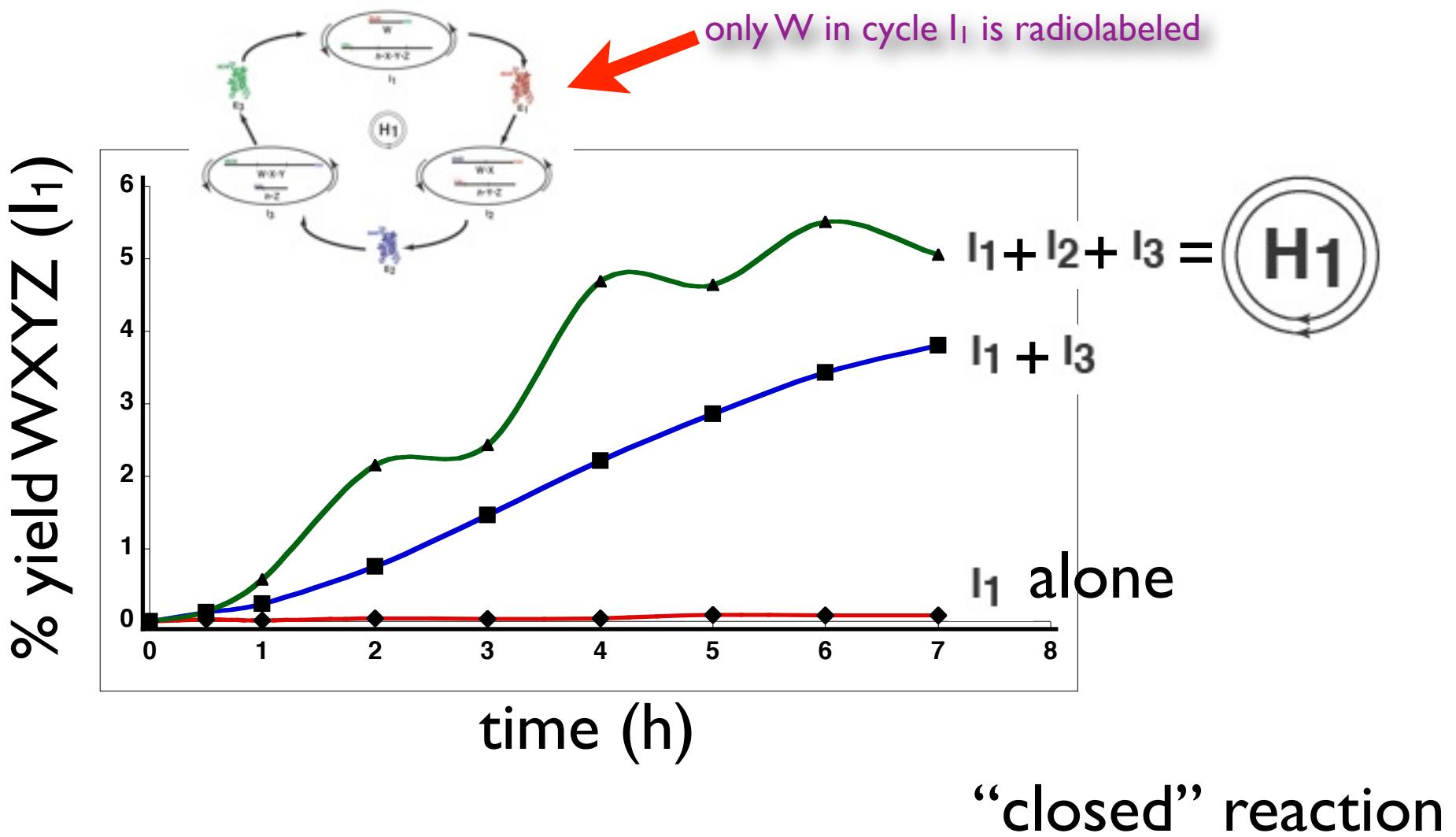
# using information ... “cooperatively”?



# a putative cooperative cycle



# replicator yield is highest when all three components are present

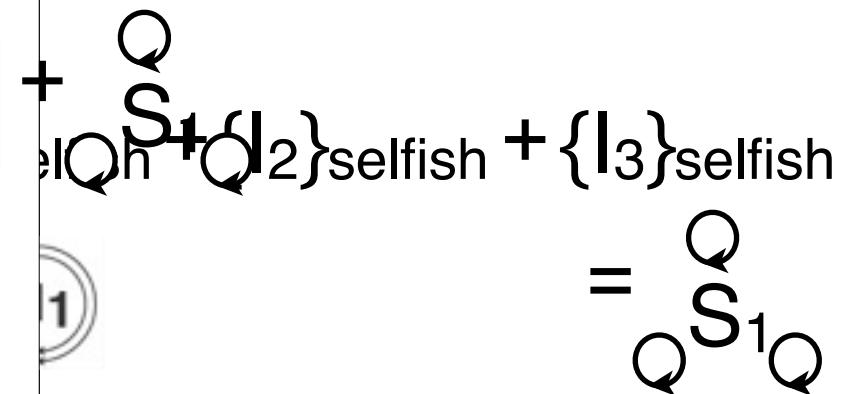
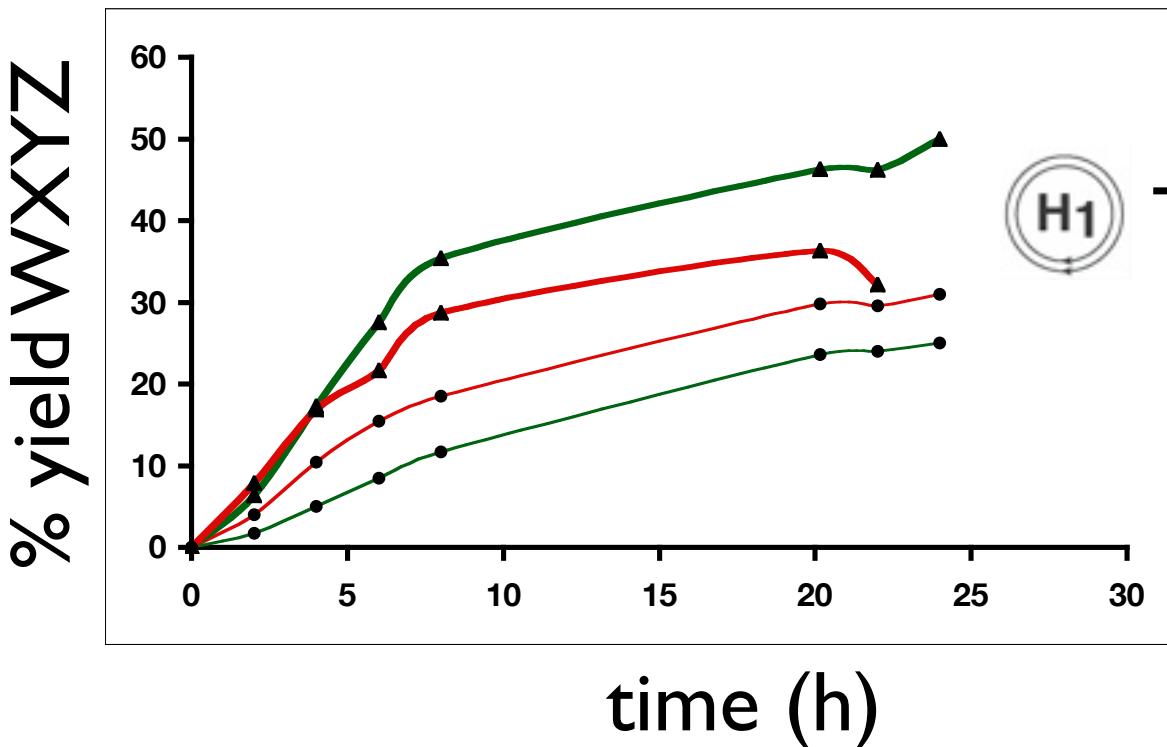


# a competitive advantage to cooperation

**the cooperative cycle out-competes the selfish replicators...**

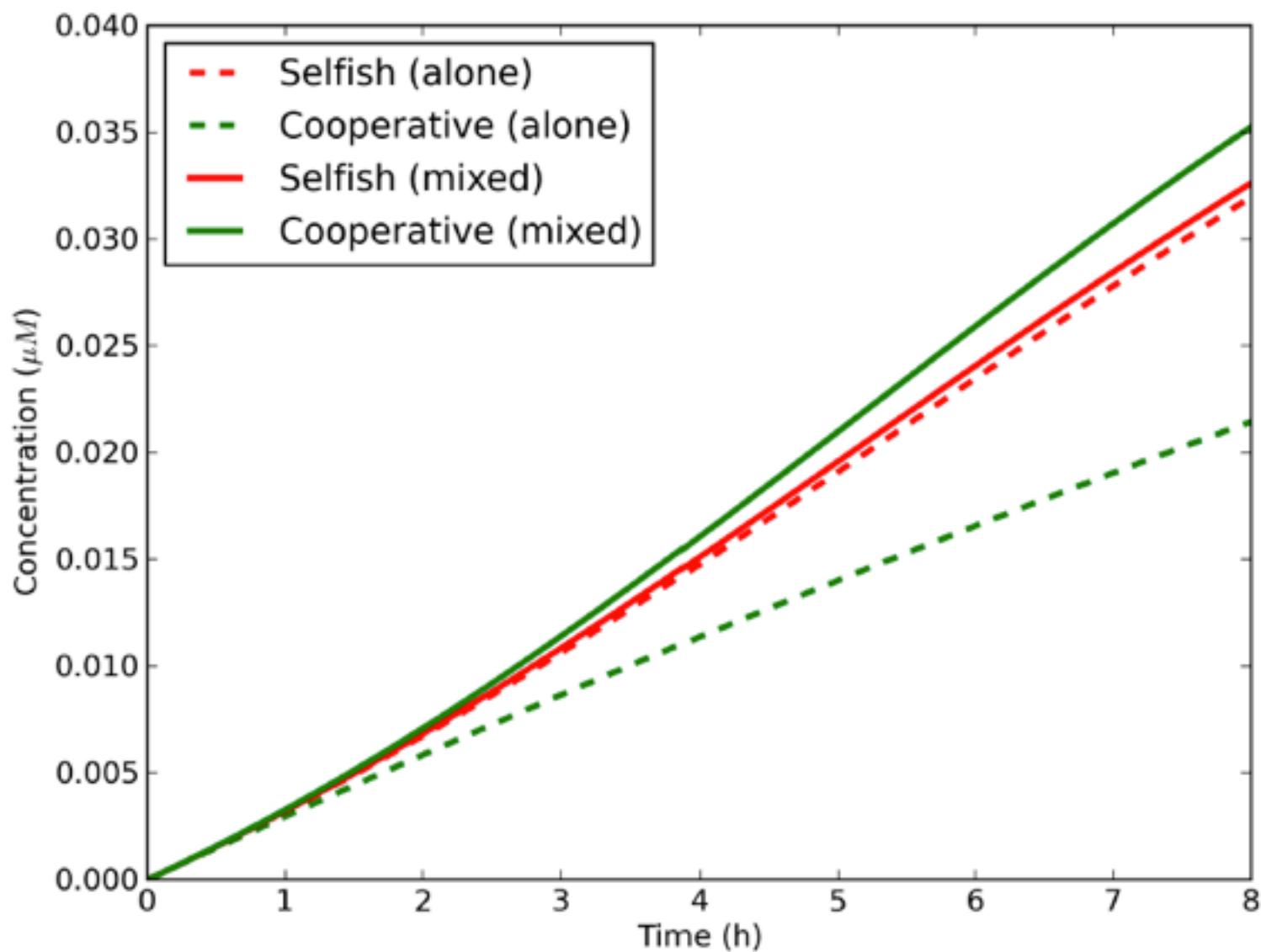
mismatched guides & tags

matched guides & tags

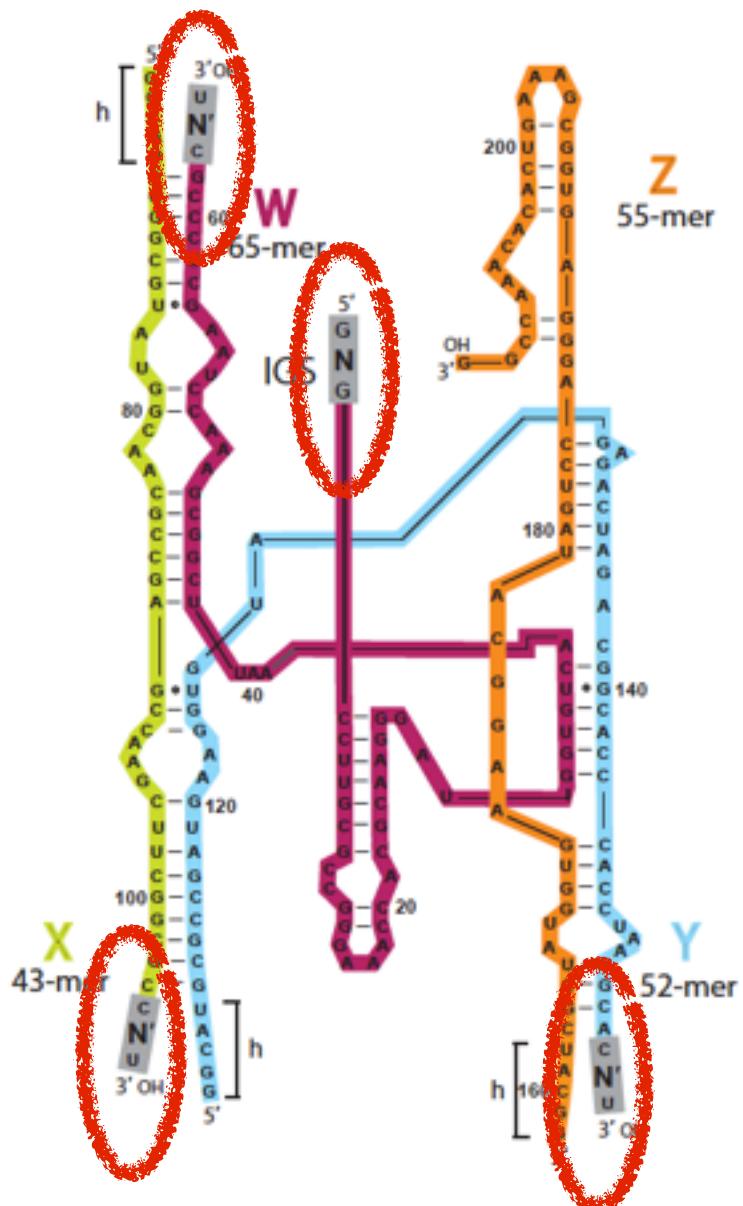


**... but only when in mixed in the same population**

mathematical modeling supports empirical data  
(Michael Manapat / Irene Chen)

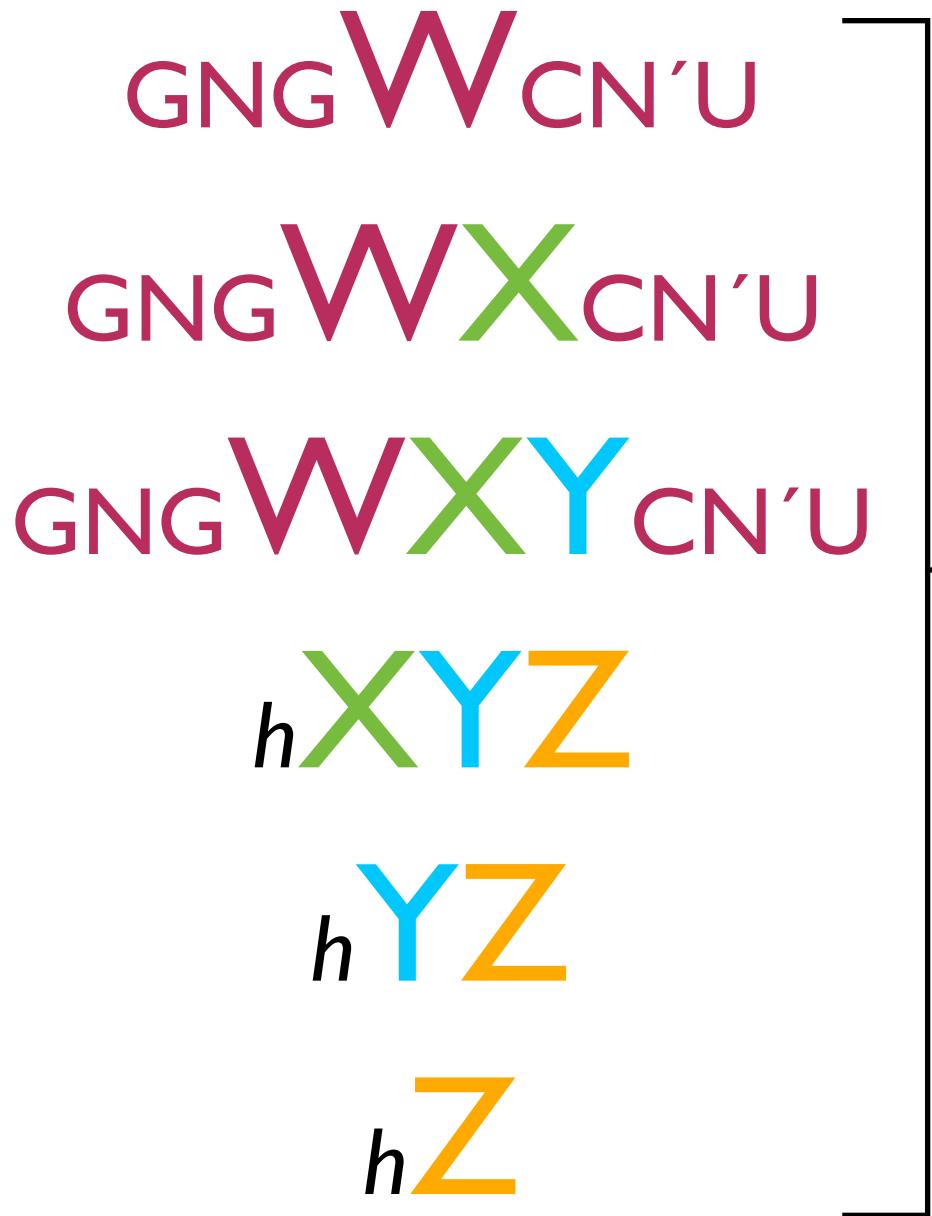


moving beyond this single example: randomization experiment



GNGWcn'u  
GNGWXcn'u  
GNGWXYcn'u  
hXYZ  
hYZ  
hZ  
51 species

# randomization experiment

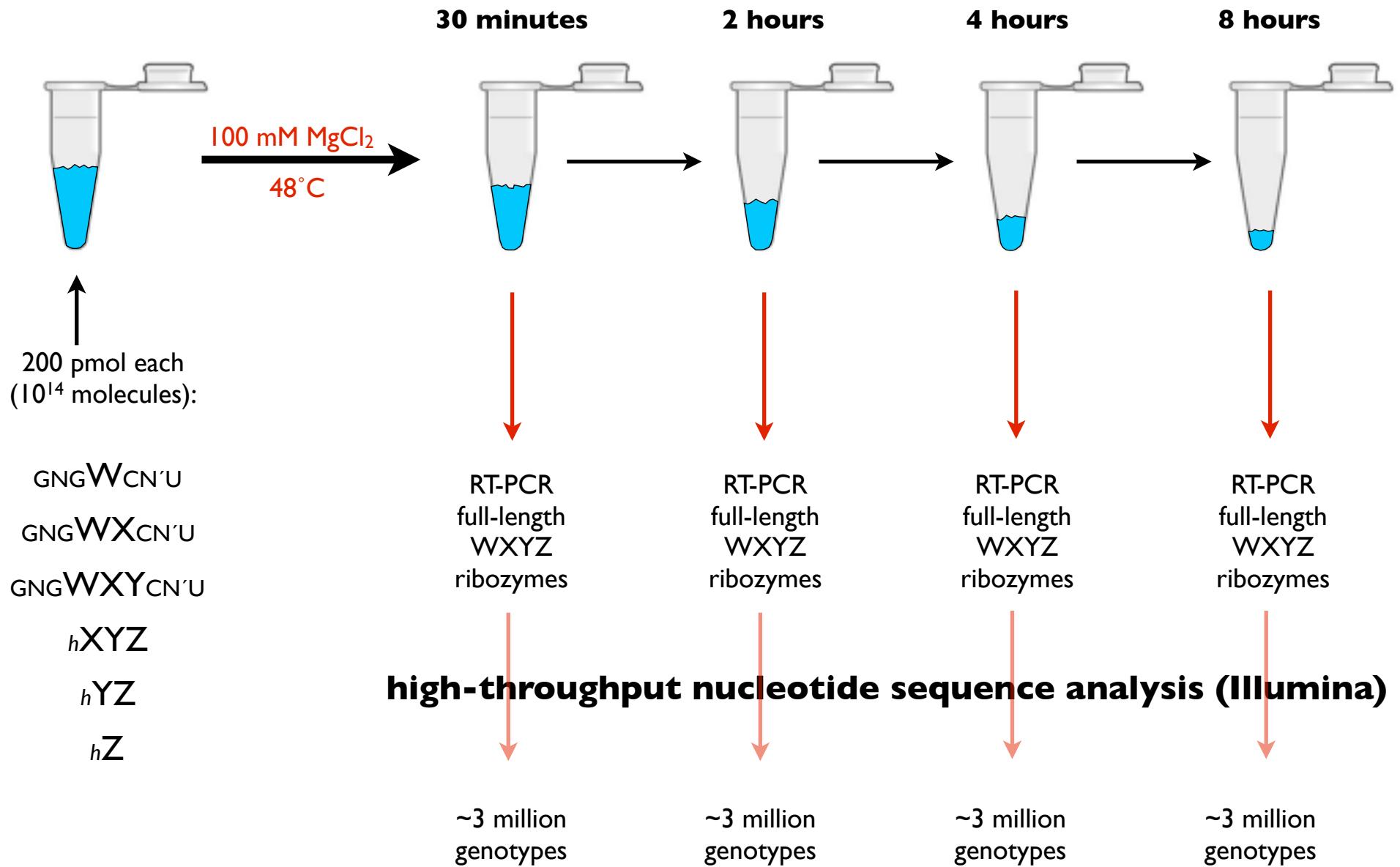


48 possible genotypes

(4 IGS choices x 4 IGS tag choices x 3 junctions)

e.g., C|U|x

# randomization experiment



# global visualization: results at 8 hr

red: autocatalysts

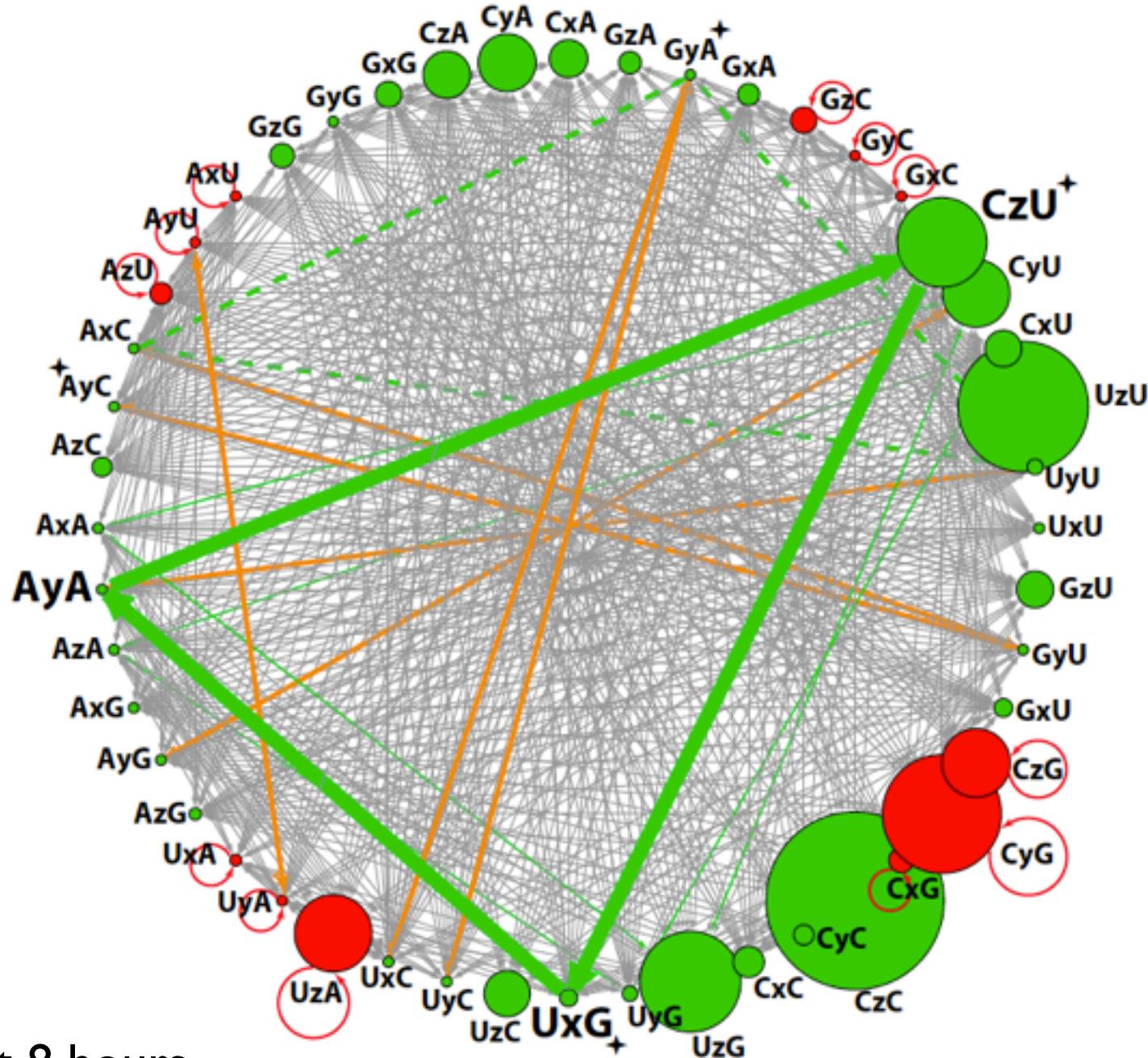
green: “cooperators”

orange: both  
members of 2MCs  
increasing over time

thick green:  
 $UGx + AAy + CUz$

at 8 hours

Vaidya, Manapat, Chen, Xulvi-Brunet, Hayden, Lehman  
(2012) *Nature* 490, 72–77.



# Hamilton's Rule

$$rb > c$$

$r$  = degree of relatedness between two genotypes;

$b$  = additional reproductive benefit gained by the recipient of cooperative act

$c$  = the reproductive cost incurred to the donor of the cooperative act

# Game Theoretic Principles Manifested in RNA (IGS-Tag)

2-player games:

AA AA	AA AC	AA AG	AA AU	AC AA	AC AC	AC AG	AC AU	AG AA	AG AC	AG AG	AG AU	AU AA	AU AC	AU AG	AU AU
AA CA	AA CC	AA CG	AA CU	AC CA	AC CC	AC CG	AC CU	AG CA	AG CC	AG CG	AG CU	AU CA	AU CC	AU CG	AU CU
AA GA	AA GC	AA GG	AA GU	AC GA	AC GC	AC GG	AC GU	AG GA	AG GC	AG GG	AG GU	AU GA	AU GC	AU GG	AU GU
AA UA	AA UC	AA UG	AA UU	AC UA	AC UC	AC UG	AC UU	AG UA	AG UC	AG UG	AG UU	AU UA	AU UC	AU UG	AU UU
CA AA	CA AC	CA AG	CA AU	CC AA	CC AC	CC AG	CC AU	CG AA	CG AC	CG AG	CG AU	CU AA	CU AC	CU AG	CU AU
CA CA	CA CC	CA CG	CA CU	CC CA	CC CC	CC CG	CC CU	CG CA	CG CC	CG CG	CG CU	CU CA	CU CC	CU CG	CU CU
CA GA	CA GC	CA GG	CA GU	CC GA	CC GC	CC GG	CC GU	CG GA	CG GC	CG GG	CG GU	CU GA	CU GC	CU GG	CU GU
CA UA	CA UC	CA UG	CA UU	CC UA	CC UC	CC UG	CC UU	CG UA	CG UC	CG UG	CG UU	CU UA	CU UC	CU UG	CU UU
GA AA	GA AC	GA AG	GA AU	GC AA	GC AC	GC AG	GC AU	GG AA	GG AC	GG AG	GG AU	GU AA	GU AC	GU AG	GU AU
GA CA	GA CC	GA CG	GA CU	GC CA	GC CC	GC CG	GC CU	GG CA	GG CC	GG CG	GG CU	GU CA	GU CC	GU CG	GU CU
GA GA	GA GC	GA GG	GA GU	GC GA	GC GC	GC GG	GC GU	GG GA	GG GC	GG GG	GG GU	GU GA	GU GC	GU GG	GU GU
GA UA	GA UC	GA UG	GA UU	GC UA	GC UC	GC UG	GC UU	GG UA	GG UC	GG UG	GG UU	GU UA	GU UC	GU UG	GU UU
UA AA	UA AC	UA AG	UA AU	UC AA	UC AC	UC AG	UC AU	UG AA	UG AC	UG AG	UG AU	UU AA	UU AC	UU AG	UU AU
UA CA	UA CC	UA CG	UA CU	UC CA	UC CC	UC CG	UC CU	UG CA	UG CC	UG CG	UG CU	UU CA	UU CC	UU CG	UU CU
UA GA	UA GC	UA UG	UA GU	UC GA	UC GC	UC GG	UC GU	UG GA	UG GC	UG GG	UG GU	UU GA	UU GC	UU GG	UU GU
UA UA	UA UC	UA UG	UA UU	UC UA	UC UC	UC UG	UC UU	UG UA	UG UC	UG UG	UG UU	UU UA	UU UC	UU UG	UU UU

A self-assembles  
B self-assembles  
A assembles B  
B assembles A

A assembles B    A self-assemble  
A assembles B    B self-assemble

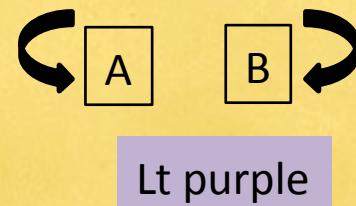
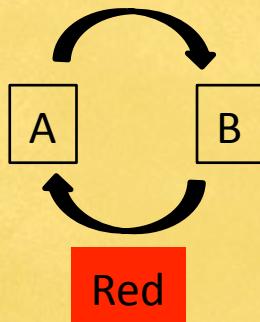
B assembles A    A self-assemble  
B assembles A    B self-assemble

A assembles B    B assembles A    ALL assemble

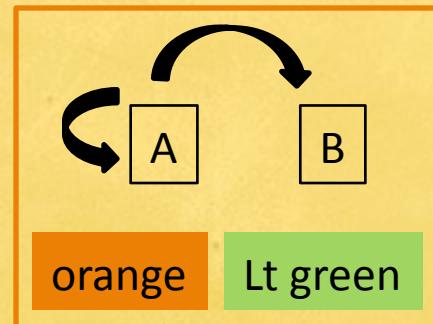
A self-assemble    B self-assemble    ALL self-assemble

ALL assemble    ALL SA    ALL SA & ALL ASSEMBLE

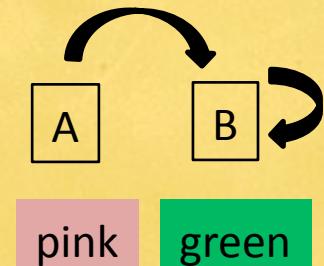
Strategies:



Lt purple



orange    Lt green



pink    green

Snowdrift game:

GC	AU	GU	CA	AG	CU	GG
----	----	----	----	----	----	----

Game 1: AU vs. CU

Game 2: GC vs. GG

Game 3: GC vs. AG

# Game Theoretic Principles Manifested in RNA (IGS-Tag)

Game 1 payoff matrices:

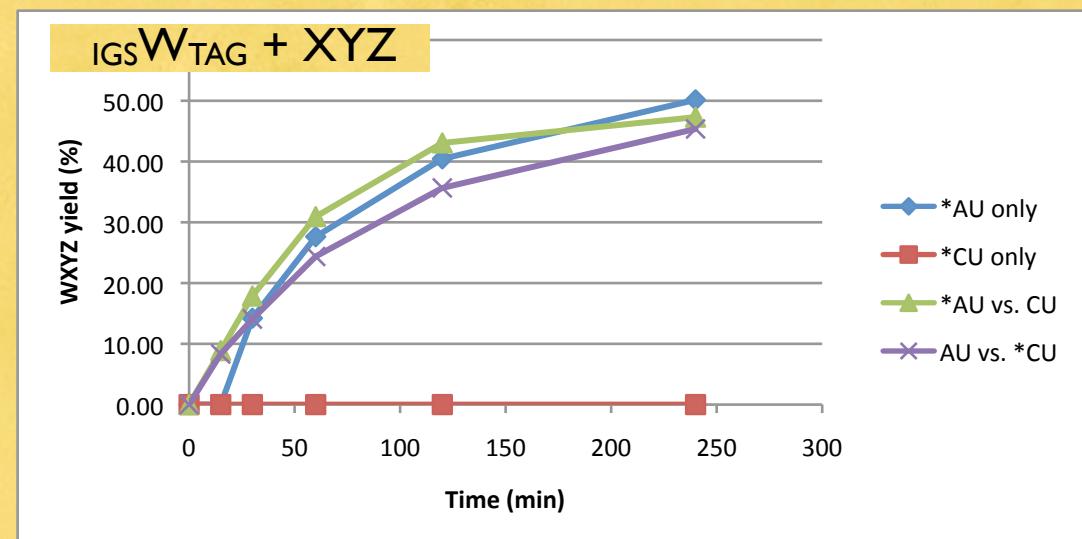
game 1: strategy A is the genotype with the GAU IGS and the CUU tag, while strategy 2 is the genotype with the GCU IGS and the CUU tag.

measured payoff matrix at 60 min:

6.9 pmol		7.7 pmol
6.1 pmol		0 pmol

General payoff matrix		
A	B	
A	$\alpha$	$\beta$
B	$\psi$	$\delta$

		Cooperate	Defect
		$b - c/2$	$b - c$
		$b$	0
Cooperate			
Defect			



at 60 min, there is no cost to cooperating, and the altruism of A is not penalized

in this experiment,  $\alpha > \psi$ , and  $\beta > \delta$ , meaning that A is always the dominant strategy; not snowdrift!

# putting it all together

- group I introns can be engineered to be general RNA recombinase enzymes
- the *Azoarcus* ribozyme can covalently self-assemble through recombination
- autocatalytic self-replication can occur in this system
- upon selection a temporal progression can be seen:
  1. autocatalysts
  2. simple 2-membered cooperative cycles
  3. 3-membered networks
  4. more complex networks?

*there seems to be a simple mechanism by which networks can expand by being more efficient replicators than selfish autocatalysts*

# acknowledgements

Dr. **Nilesh Vaidya** (Portland State, now Princeton)

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Dr. **Michael Manapat** (Harvard, now Google)

Dr. **Ramon Xulvi-Brunet** (Harvard)



National Aeronautics  
and Space Administration

“NilesH”